

REQUIREMENT: Notify OGC/Ethics within 3 business days of commencing the negotiation or agreement for post-government employment or compensation

NOTIFICATION OF NEGOTIATION OR AGREEMENT

Philip Malcolm Fine

Your full name

Principal Deputy Associate Administrator

for Policy, Office of Policy, AO

Your Title / Office / AAship or Region

(b) (6)

Your telephone number*

(b) (6)

Your email address*

10-17-22 (scheduled interview)

Date negotiation or agreement began

Bay Area Air Quality Management District

Name of non-federal entity that you are negotiating with or have an agreement for employment or compensation

** Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may provide a personal email address or cell number.*

RECUSAL STATEMENT

To assist in identifying your recusal obligations, check the appropriate boxes below:

- | | Yes | No | |
|----|-------------------------------------|--------------------------|---|
| 1. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment. |
| 2. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | My office in EPA does work that affects the non-federal entity with which I am seeking employment. |
| 3. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | The work I participate in affects or will affect the non-federal entity with which I am seeking employment. |

Need help answering these statements?
See page 2.

For as long as I am negotiating for, or have an agreement of, employment or compensation with the entity listed above, I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of this entity, unless I first obtain from OGC/Ethics a written authorization or waiver consistent with 5 C.F.R. § 2635.605 or 18 U.S.C. § 208(b)(1). I understand that it is my responsibility to consult with OGC/Ethics if I have questions regarding my recusal obligations.

Sign and submit to ethics@epa.gov:

PHILIP FINE

Your signature

Digitally signed by PHILIP FINE
Date: 2022.10.20 09:13:31 -04'00'

OGC/Ethics Use Only: received 10/20/22 (JFugh)

Statement 1: EPA’s programs, policies, or operations affect the non-federal entity with which I am seeking employment.

If your answer is “yes” to any of the following questions, then you must answer “yes” to statement 1.

- Is the non-federal entity seeking official action from EPA (even if not your own office)?
- Does the non-federal entity do business or seek to do business with the EPA (even if not your own office)?
- Does the non-federal entity conduct activities that EPA regulates (even if not in your own office)?
- Does the non-federal entity have interests that may be substantially affected by the performance or non-performance of your official duties?
- Is the non-federal entity a membership organization in which the majority of the members are described in the preceding questions?

Statement 2: My office in EPA does work that affects the non-federal entity with which I am seeking employment.

To answer this question, think about the nexus between the work of your office and the non-federal entity. The closer the nexus, the more likely you will have to check “yes” to statement 2.

- Consider the particular matters your office works on and whether there is any connection to the work of this entity. Does your office work on permits, investigations, litigation, grants, licenses, contracts, applications, enforcement cases, or other similar types of matters where there is an identified non-federal entity (i.e., particular matters involving specific parties)?
- Also consider whether your office is involved in scientific programs, media programs, or other types of policies, procedures, guidance documents, regulations, etc., that would affect this particular industry or sector (i.e., particular matters of general applicability).

Statement 3: The work I participate in affects or will affect the non-federal entity with which I am seeking employment.

Think about the nexus between your work and the non-federal entity as well as its respective class, industry or sector. The closer the nexus between your work and the sector the non-federal entity belongs to, the more likely you will check have to check “yes” to statement 3.

- Will the work you do affect the sector? Don’t concentrate on whether your personal contributions will be determinative but rather, overall, how the outcome of the work itself will affect the sector and the non-federal entity with which you are negotiating.
- Do you advise on or consult with your colleagues’ projects and work? Does their work affect this sector or the non-federal entity?
- Do you actively supervise or assign work to subordinates? Do those assignments affect the sector or the non-federal entity?

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NOTIFICATION OF NEGOTIATION OR AGREEMENT

Sincere Alise Harris

Your full name

(b) (6)

Your telephone number*

12/08/2022

Date negotiation or agreement began

Senior Advisor for Personnel

Your Title / Office / AAship or Region

(b) (6)

Your email address*

(b) (6)

Name of non-federal entity that you are negotiating with or have an agreement for employment or compensation

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Your signature

OGC/Ethics Use Only: received 12/12/22 (JFugh) -- filer intended to enter "no" for all of the boxes.

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NOTIFICATION OF NEGOTIATION OR AGREEMENT

Melissa Ann Hoffer

Your full name

(b) (6)

Your telephone number*

November 28, 2022

Date negotiation or agreement began

Principal Deputy General Counsel

Your Title / Office / AAship or Region

(b) (6)

Your email address*

Commonwealth of Massachusetts

Name of non-federal entity that you are negotiating with or have an agreement for employment or compensation

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Sign and submit to ethics@epa.gov:

MELISSA HOFFER



Digitally signed by MELISSA HOFFER
Date: 2022.12.27 09:54:27 -05'00'

Your signature

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